

PTO/SB/21 (07-06)

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	FORM			

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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Application Number	10/723,385-Conf. #8005
Filing Date	November 25, 2003
First Named Inventor	Hanjun Luo
Art Unit	2137
Examiner Name	E. L. Moise
Attorney Docket Number	H0678.70008US00

ENCLOSURES (Check all that apply)							
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC					
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After Final	Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter					
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):					
Express Abandonment Request	Request for Refund	Return Receipt Postcard					
X Information Disclosure Statement	CD, Number of CD(s)						
X Copy of Foreign Documents Cited on PTO Form 1449	Landscape Table on CD						
Reply to Missing Parts/ Incomplete Application	Remarks						
Reply to Missing Parts under 37 CFR 1.52 or 1.53		•					
	TURE OF APPLICANT, ATTORNEY, OF	R AGENT					
Firm Name WOLF, GREENFIE	LD & SACKS, P.C.						
Signature							
Printed name James H. Morris							
Date December 14, 2006	Reg. No.	34,681					
<del></del>							
Certificate of Mailing Under 37 CFR 1.8(a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Dated: December 14, 2006 Signature:							



DOCKET NO.: H0678.70008US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hanjun LUO and Ruixin LU

Serial No.:

10/723,385

Confirmation No.:

8005

Filed:

November 25, 2003

For:

802.1X PROTOCOL-BASED MULTICASTING CONTROL METHOD

Examiner:

**Emmanuel Lionel Moise** 

Art Unit:

2137

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 14<sup>th</sup> day of December, 2006

Eileen MacKenzie

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits in the above-identified case.

No fee or certification is required.

Serial No.: 10/723,385 -2 - Art Unit: 2137

Conf. No.: 8005

## **Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the enclosed European Search Report from corresponding European Application No. 03103921.

## Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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Conf. No.: 8005

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

James H. Morris, Reg. No. 34,681 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: H0678.70008US00

Date: December 14, 2006

DEC 18	[006 Fg					
A DTC	S and B (m	ad:fiad	DTO/CD/00\	APPLICATION NO.:	10/723,385	ATTY. DOCKET NO.: H0678.70008US00
	9/A and B (m			FILING DATE: 2003	November 25,	CONFIRMATION NO.: 8005
STATEMENT BY APPLICANT				APPLICANT:	Hanjun LUO and Ruixin LU	
	· · · · · · · · · · · · · · · · · · ·			GROUP ART UNIT:	2137	EXAMINER: Emmanuel Lionel Moise
Sheet	1	of	1			

## U.S. PATENT DOCUMENTS

Examiner's	Cite No.	U.S. Patent Docu	ment	Name of Patentee or Applicant of Cited	Date of Publication or Issue	
Initials #		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
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#### FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document	Cited Document MM-DD-YYYY	(Y/N)
		EP	0 967 753	A2	Kobayashi	12/29/1999	
		wo	02/45334	A!	Unitt et al.		
		<del> </del>					

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

		OTHER ART - NORTH ENTER TORD DOCUMENTS	
Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		European Search Report from corresponding European Application No. 03103921	
		IEEE-SA Standards Board: <u>IEEE Standard for Local and Metropolitan Area Networks-Port-Based Network Access Control</u> , Online, 14 June 2001, The Institute of Electrical and Electronics engineers, Inc. New York XP002270244	
		ISHIKAWA N, et al., An Architecture for User Authentication of IP Multicast and its Implementation, Internet Workshop, 1999. IWS 99 Osaka, Japan 18-20 Feb. 1999, Piscataway, NJ, IEEE US. 18 February 1999, pages 81-87, XP010365582	

EXAMINER:	DATE CONSIDERED:

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).